Federal Financial Report

1. Federal Agency and Organizational Element to Which Report is Submitted
   U.S. Election Assistance Commission

2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)
   CFDA # 90.404

3. Recipient Organization (Name and complete address including Zip code)
   Recipient Organization Name: Texas Secretary of State
   Street1: P. O. Box 12887
   Street2: 
   City: Austin
   County: Travis
   State: TX: Texas
   Country: USA: UNITED STATES
   ZIP / Postal Code: 78711-2887

4. DUNS Number
   
4b. EIN
   
5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)
   
6. Report Type
   Quarterly
   Semi-Annual
   Annual
   Final

7. Basis of Accounting
   Cash
   Accrual

8. Project/Grant Period
   From: 03/23/2018
   To: 03/22/2023
   Reporting Period End Date
   09/30/2019

10. Transactions
   Cumulative

   (Use lines a-c for single or multiple grant reporting)
   Federal Cash (To report multiple grants, also use FFR attachment):
   a. Cash Receipts
      23,252,604.00
   b. Cash Disbursements
      2,154,797.45
   c. Cash on Hand (line a minus b)
      21,097,806.55

   (Use lines d-o for single grant reporting)
   Federal Expenditures and Unobligated Balance:
   d. Total Federal funds authorized
      23,252,604.00
   e. Federal share of expenditures
      2,154,797.45
   f. Federal share of unliquidated obligations
      0.00
   g. Total Federal share (sum of lines e and f)
      2,154,797.45
   h. Unobligated balance of Federal Funds (line d minus g)
      21,097,806.55

   Recipient Share:
   i. Total recipient share required
      1,162,630.00
   j. Recipient share of expenditures
      11,055.00
   k. Remaining recipient share to be provided (line i minus j)
      1,151,575.00

   Program Income:
   l. Total Federal program income earned
      673,694.57
   m. Program Income expended in accordance with the deduction alternative
      0.00
   n. Program Income expended in accordance with the addition alternative
      673,694.57
   o. Unexpended program income (line l minus line m or line n)
      0.00
### 11. Indirect Expense

<table>
<thead>
<tr>
<th>a. Type</th>
<th>b. Rate</th>
<th>c. Period From</th>
<th>Period To</th>
<th>d. Base</th>
<th>e. Amount Charged</th>
<th>f. Federal Share</th>
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| g. Totals: | | | |
|------------|---|---|

#### 12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

Add Attachment  Delete Attachment  View Attachment

#### 13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

<table>
<thead>
<tr>
<th>a. Name and Title of Authorized Certifying Official</th>
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<tbody>
<tr>
<td>Prefix: Mr.</td>
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<tr>
<td>First Name: Louis</td>
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<td>Last Name: Ng</td>
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<td>Middle Name:</td>
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<td>Suffix:</td>
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<td>Title: Director of Financial Management</td>
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<th>b. Signature of Authorized Certifying Official</th>
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<th>c. Telephone (Area code, number and extension)</th>
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<th>d. Email Address</th>
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<th>e. Date Report Submitted</th>
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<tr>
<td>12/11/2019</td>
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14. Agency use only:  

Standard Form 425
State HAVA Funding Reports
U.S. Election Assistance Commission
1335 East West Highway
Suite 4300
Silver Spring, MD 20910

RE: Help America Vote Act ("HAVA") Title I, Section 101 Financial Activity Report for October 1, 2018 thru September 30, 2019 ("HAVA Election Security Grant")

To Whom It May Concern:

The purpose of this correspondence is to report on the Texas HAVA Title I, Section 101 financial activity for the time period covering October 1, 2018 through September 30, 2019 as required by the U.S. Election Assistance Commission. Specifically, this report will provide the following:

- A list of expenditures for each Budget Category to which the state allocated funds on the EAC Budget Worksheet with a total of all those expenditures for the year.
- An analysis and description of activities by Budget Category to which the state allocated funds on the EAC Budget Worksheet and how those activities meet the plans outlined in the Program Narrative.
- Descriptions of the major categories of subgrant activities local voting districts will accomplish with the funds (if the state subgranted funds during the reporting period to local election districts).
- A description of any security training conducted and the number of participants.
- The number and type of any voting system-related equipment purchased with HAVA funds.

The Federal Financial Report for Title I, Section 101 ("HAVA Election Security Grant") is attached to this report.

**Expenditures by Budget Category**
Personnel = $11,055
Training = $0
All Other Costs\(^1\) = $2,609,045

**Program Narrative Analysis**
Under the "Election Auditing and Cyber Vulnerabilities: Security Services for Counties” section of the Texas 2018 HAVA Election Security Grant Funding Narrative, Texas stated it was in the process of acquiring a subscription for a cybersecurity training course, which will be made available, free of charge, to election officials in all 254 counties. Texas acquired the software in August 2018. Although funding was not expended in FY2019, election officials did utilize the training. Texas also
hired two election security trainers to provide technical assistance to counties regarding election security. Texas will update the Texas 2018 HAVA Election Security Grant Funding Narrative accordingly.

Under the “Voter Registration Systems and Management and Election Management: Security Enhancements and Integration” section of the narrative, Texas specified that it would use funding to make security enhancements to its HAVA-mandated voter registration system (“TEAM”). During the report period, the Texas Secretary of State’s Office (“SOS”) worked with its voter registration system software vendor to make security updates to the TEAM system, including election management integration.

Subgrants
Although no subgrants have been made, SOS has partnered with the Texas Department of Information Resources (DIR) to provide election security assessments to any Texas county through DIR’s Managed Security Services program as described in the “Election Auditing and Cyber Vulnerabilities: Security Services for Counties” section of the program narrative. $1,223,709 was paid in FY2019 covering 34 counties.

Training
As mentioned above, the state acquired cybersecurity training software made available to election officials in all 254 counties. Approximately 900 officials utilized the training in FY2019.

Voting System-related Equipment
No voting system equipment purchases were made during the report period.

If you have additional questions, please do not hesitate to contact me at dglotzer@sos.texas.gov or 512-463-9861.

Sincerely,

Dan Glotzer
Election Funds Manager

\[1\] “All Other Costs” is comprised of enhancements to existing computer systems, additional computer-related products and services, and other services relating to contracts, many of which will be made available through cooperative purchasing programs, as described in Texas’ 2018 HAVA Election Security Grant Funding Narrative.